

<p align="center"><b>NATIONAL PHASE UTILITY APPLICATION</b></p> <p align="center"><b>Transmittal to the (DO/EO/US) Under 35 USC 371</b></p>	<p>Attorney Docket No.: SHIGA7.044APC  First Named Inventor: Akiyoshi Yamazaki  Int'l Application No.: PCT/JP2004/013633  Int'l Filing Date: September 17, 2004  Priority Date Claimed: September 18, 2003; September 24, 2003;  April 14, 2004  Title: POSITIVE RESIST COMPOSITION AND METHOD OF  FORMING RESIST PATTERN  Express Mail Label No.: EV 466894013 US</p>
<p><b>Direct all correspondence to Customer No.: 20995</b> <span style="float: right;">Date: January 30, 2006 Page 1 of 2</span></p>	

**Mail Stop PCT**  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria VA 22313-1450

The following enclosures are transmitted herewith to be filed in the patent application of:

**Inventors:**

- |                      |                    |
|----------------------|--------------------|
| 1. Akiyoshi Yamazaki | 2. Kazuo Tani      |
| 3. Naoto Motoike     | 4. Satoshi Maemori |
| 5. Sachiko Yoshizawa |                    |

**APPLICATION ELEMENTS:**

- (X) This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
- (X) The international application as filed was transmitted by the International Bureau.
- (X) An English translation of the International Application as filed is attached hereto.
- (X) Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)) have not been and will not be made.
- (X) A Declaration signed by the inventors (35 U.S.C. § 371(c)(4)), as well as a Power of Attorney form in 3 pages.

**OTHER APPLICATION PARTS:**

- (X) Preliminary Amendment in 4 pages.
- (X) Recordation form cover sheet and Assignment in 3 total pages (cover sheet & assignment).
- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
  - (X) Listing 6 references.
  - (X) Enclosing 3 references.
- (X) Return prepaid postcard.

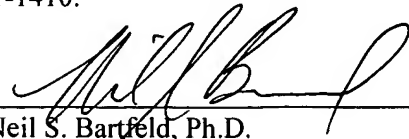
<b>NATIONAL PHASE UTILITY APPLICATION</b>  <b>Transmittal to the (DO/EO/US) Under 35 USC 371</b>	Attorney Docket No.: SHIGA7.044APC First Named Inventor: Akiyoshi Yamazaki Int'l Application No.: PCT/JP2004/013633 Int'l Filing Date: September 17, 2004 Priority Date Claimed: September 18, 2003; September 24, 2003; April 14, 2004 Title: POSITIVE RESIST COMPOSITION AND METHOD OF FORMING RESIST PATTERN Express Mail Label No.: EV 466894013 US
<b>Direct all correspondence to Customer No.: 20995</b>	
Date: January 30, 2006 Page 2 of 2	

**FILING FEES:**

FEE CALCULATION				
FEE TYPE & DETERMINATION		LARGE FEE	CALCULATION	TOTAL
Basic Filing Fee under 1.492(a)		1631 (\$300)		\$300
Search Fee under 1.492(b) ( <i>enter one in TOTAL column</i> )				
(1)	USPTO was ISA/IPEA & claims satisfy Art. 33 (1)-(4)	1640 (\$0)		\$500
(2)	USPTO was the ISA (noncompliant claims)	1641 (\$100)		
(3)	Foreign Search Report Enclosed	1642 (\$400)		
(4)	No Search Report Enclosed	1632 (\$500)		
Examination Fee under 1.492(c) ( <i>enter one in TOTAL column</i> )				
(1)	USPTO was ISA/IPEA & claims satisfy Art. 33 (1)-(4)	1643 (\$0)		\$200
(2)	Non US IPEA or Noncompliant Claims	1633 (\$200)		
Excess Claims	25 - 20 = 5	1615 (\$50)	5 x 50 =	\$250
Excess Ind. Claims	2 - 3 = 0	1614 (\$200)	0 x 200 =	\$0
Multiple Dep. Claim		1616 (\$360)		\$0
Application Size Fee	55 - 100 = 0	1681 (\$0)	0 x 250 =	\$0
Assignment		8021 (\$40)	* x 40 =	\$40
			<b>TOTAL FEE DUE</b>	<b>\$1,290</b>
<b>TOTAL FEE PAID (If Different Than Fee Due)</b>				<b>\$1,290</b>

(X) A check in the amount of \$1,290 to cover the Total Fee Due is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.

  
 \_\_\_\_\_  
 Neil S. Bartfeld, Ph.D.  
 Registration No. 39,901  
 Agent of Record  
 Customer No. 20,995  
 (619) 235-8550

# Knobbe Martens Olson & Bear LLP

Intellectual Property Law

10/566425

2040 Main Street  
Fourteenth Floor  
Irvine, CA 92614  
Tel 949-760-0404  
Fax 949-760-9502  
www.kmob.com

IAP20 Rec'd PCT/PTO 30 JAN 2006

Neil S. Bartfeld, Ph.D.  
Patent Scientist  
619-525-8312  
neil.bartfeld@kmob.com

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## SUBMISSION BY "EXPRESS MAIL"

**Attorney Docket No.** : SHIGA7.044APC  
**Applicant(s)** : Yamazaki et al.  
**For** : POSITIVE RESIST COMPOSITION AND  
METHOD OF FORMING RESIST PATTERN  
**Agent** : Neil S. Bartfeld, Ph.D.  
**"Express Mail" Label No.** : EV 466894013 US  
**Date of Deposit** : January 30, 2006

The following documents are hereby placed into an Express Mail envelope bearing the number indicated above, which envelope is being deposited today with the U.S. Postal Service as Express Mail:

Transmittal letter; English translation of the International Application; Signed Declaration and Power of Attorney in 3 pages; Preliminary Amendment in 4 pages; Recordation Form Cover Sheet and Assignment in 3 pages; Information Disclosure Statement and PTO Form PTO/SB/08 Equivalent with 6 references listed (3 enclosed); Checks for Filing Fees; and Return Prepaid Postcard.

The envelope, with the enclosures listed above, is addressed the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

This submission is being made in compliance with 37 CFR 1.10.

Norma Morgana Yee  
Name: NORMA MORGANA YEE  
Docketing Agent

2336104 / 013006

San Diego  
619-235-8550

San Francisco  
415-954-4114

Los Angeles  
310-551-3450

Riverside  
951-781-9231

San Luis Obispo  
805-547-5580